

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: COX, et al.  
Filed: March 24, 2004  
Title: **METHOD FOR BLOCKING DENIAL OF SERVICE  
AND ADDRESS SPOOFING ATTACK ON  
A PRIVATE NETWORK**

**Mail Stop PATENT APPLICATION**

Commissioner for Patents  
P.O. box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

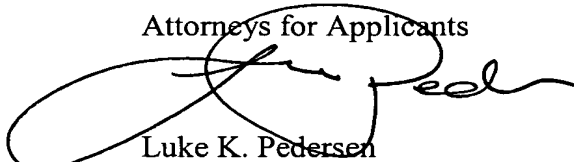
Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form, and previously cited in U.S. Application Serial No. 09/040,898 filed March 18, 1998, by Applicants and entitled "*Method for Blocking Denial of Service and Address Spoofing Attacks on a private Network*" be considered and cited in the examination of the above-identified continuation patent application. Pursuant to 37 C.F.R. § 1.98(d), copies of these references are not being furnished. Furthermore, pursuant to 37 C.F.R. § 1.97(h), no representation is made that these references qualify as prior art or that these references are material to the patentability of the present application.

Pursuant to 37 C.F.R. § 1.97(b), no fee is believed due. If, however, Applicant has overlooked the need for a fee, the Commissioner is hereby authorized to charge any underpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.

Attorneys for Applicants



Luke K. Pedersen  
Reg. No. 45,003

Date: 3/24/04

PTO-1449  <b>Information Disclosure Citation In an Application</b>	Application No. <b>To be determined</b>		Applicant(s) <b>Dennis Cox et al.</b>	
	Docket Number <b>062891.0638</b>	Group Art Unit	Filing Date <b>March 24, 2004</b>	

**U.S. PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	4,438,511	03/20/84	Baran	370	19	
	B	4,672,572	06/09/87	Alsberg	713	202	
	C	4,679,227	07/07/87	Hughes-Hartogs	379	98	
	D	4,731,816	03/15/88	Hughes-Hartogs	379	98	
	E	4,757,495	07/12/88	Decker, et al.	370	76	
	F	4,769,771	09/06/88	Lippmann et al.	364	200	
	G	4,771,425	09/13/88	Baran, et al.	370	85	
	H	4,819,228	04/04/89	Baran, et al.	370	85	
	I	4,833,706	05/23/89	Hughes-Hartogs	379	98	
	J	4,903,261	02/20/90	Baran, et al.	370	94.2	
	K	4,980,897	12/25/90	Decker, et al.	375	38	
	L	5,003,595	03/07/00	Collins	707	9	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	M	WO/97/37458	10/09/97	PCT				
	N							
	O							

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	P	Webpage titled "Keeping Mobile Users Secure" by Dave Kosiur	02/11/98
	Q	Webpage titled "After Ping of Death, 'Land' Attack Disables some Mac Systems" by Ric Ford	02/08/97
	R	Webpage titled "How Not to be Victim of the Next Hacker Attack" by Annette Hamilton	12/18/96
	S	"Utopia Specification Level 1, Version 2.01," (af-phy-0017), The ATM Forum Committee, 19 pp.	03/21/94
	T	Anthony Alles, "ATM Internetworking," Cisco Systems, Inc., 59 pp.	05/95

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,020,058	05/28/91	Holden, et al.	370	109	
	B	5,025,469	06/18/91	Bingham	379	98	
	C	5,032,979	07/16/91	Hecht et al.	713	201	
	D	5,054,034	10/01/91	Hughes-Hartogs	375	8	
	E	5,059,925	10/22/91	Weisbloom	331	1A	
	F	5,072,449	12/10/91	Enns, et al.	371	37.1	
	G	5,088,032	02/11/92	Bosack	395	200	
	H	5,115,431	05/19/92	Williams, et al.	370	94.1	
	I	5,128,945	07/07/92	Enns, et al.	371	37.1	
	J	5,206,886	04/27/93	Bingham	375	97	
	K	5,224,099	06/29/93	Corbalis, et al.	370	94.2	
	L	5,228,062	07/13/93	Bingham	375	97	

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,255,291	10/10/93	Holden, et al.	375	111	
	B	5,274,631	12/28/93	Bhardwaj	370	60	
	C	5,274,635	12/28/93	Rahman, et al.	370	60.1	
	D	5,274,643	12/28/93	Fisk	370	94.1	
	E	5,313,454	05/17/94	Bustini, et al.	370	13	
	F	5,317,562	05/31/94	Nardin, et al.	370	16	
	G	5,359,592	10/25/94	Corbalis, et al.	370	17	
	H	5,365,580	11/15/94	Morisaki	379	189	
	I	5,390,239	02/14/95	Morris, et al.	379	93	
	J	5,394,394	02/28/95	Crowther, et al.	370	60	
	K	5,422,880	06/06/95	Heitkamp, et al.	370	60	
	L	5,430,715	07/04/95	Corbalis, et al.	370	54	

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	A	5,473,599	12/05/95	Li, et al.	370	16	
	B	5,473,607	12/05/95	Hausman, et al.	370	85.13	
	C	5,509,006	04/16/96	Wilford, et al.	370	60	
	D	5,519,704	05/21/96	Farinacci, et al.	370	85.13	
	E	5,555,244	09/10/96	Gupta, et al.	370	60.1	
	F	5,561,663	10/01/96	Klausmeier	370	17	
	G	5,561,669	10/01/96	Lenney, et al.	370	60.1	
	H	5,570,360	10/29/96	Klausmeier, et al.	370	60	
	I	5,598,581	01/28/97	Daines, et al.	395	872	
	J	5,602,902	02/11/97	Satterlund, et al.	379	59	
	K	5,617,417	04/01/97	Sathe, et al.	370	394	
	L	5,617,421	04/01/97	Chin, et al.	370	402	

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	A	5,623,601	04/22/97	Vu	395	187	
	B	5,631,897	05/20/97	Pacheco, et al.	370	237	
	C	5,666,353	09/09/97	Klausmeier, et al.	370	230	
	D	5,668,857	09/16/97	McHale	379	93.07	
	E	5,673,265	09/30/97	Gupta, et al.	370	432	
	F	5,678,004	10/14/97	Thaweethai	595	187.01	
	G	5,682,478	10/28/97	Watson et al.	709	229	
	H	5,687,176	11/11/97	Wisniewski, et al.	370	476	
	I	5,691,997	11/25/97	Lackey, Jr.	371	53	
	J	5,729,546	03/17/98	Gupta, et al.	370	434	
	K	5,732,079	03/24/98	Castrigno	370	362	
	L	5,737,364	04/07/98	Cohen, et al.	375	220	

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,737,526	04/07/98	Periasamy, et al.	395	200.06	
	B	5,737,635	04/07/98	Daines, et al.	395	872	
	C	5,740,171	04/14/98	Mazzola, et al.	370	392	
	D	5,740,176	04/14/98	Gupta, et al.	370	440	
	E	5,742,604	04/21/98	Edsall, et al.	370	401	
	F	5,742,649	04/21/98	Muntz, et al.	375	371	
	G	5,756,280	05/26/98	Soora, et al.	455	4.2	
	H	5,757,916	05/26/98	MacDoran et al.	380	25	
	I	5,757,924	05/26/98	Friedman et al.	380	49	
	J	5,764,636	06/09/98	Edsall	370	401	
	K	5,764,641	06/09/98	Lin	370	412	
	L	5,765,032	06/09/98	Valizadeh	395	200.65	

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,770,950	06/23/98	Zurcher, et al.	326	30	
	B	5,781,550	07/14/98	Templin et al.	370	401	
	C	5,781,617	07/14/98	McHale, et al.	379	93.14	
	D	5,784,559	07/21/98	Frazier et al.	395	200.13	
	E	5,787,070	07/28/98	Gupta, et al.	370	217	
	F	5,787,255	07/28/98	Parlan, et al.	395	200.63	
	G	5,793,763	08/11/98	Mayes, et al.	370	389	
	H	5,793,951	08/11/98	Stein, et al.	395	187.01	
	I	5,793,978	08/11/98	Fowler	395	200.56	
	J	5,796,732	08/18/98	Mazzola, et al.	370	362	
	K	5,799,017	08/25/98	Gupta, et al.	370	419	
	L	5,802,042	09/01/98	Natarajan, et al.	370	255	

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		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,805,595	09/08/98	Sharper, et al.	370	442	
	B	5,812,618	09/22/98	Muntz, et al.	375	372	
	C	5,812,786	09/22/98	Seazholtz, et al.	395	200.63	
	D	5,822,383	10/13/98	Muntz, et al.	375	362	
	E	5,826,014	10/20/98	Coley et al.	395	187.01	
	F	5,828,846	10/27/98	Kirby, et al.	395	200.68	
	G	5,835,036	11/10/98	Takefman	341	95	
	H	5,835,481	11/10/98	Akyol, et al.	370	216	
	I	5,835,494	11/10/98	Hughes, et al.	370	397	
	J	5,835,725	11/10/98	Chiang, et al.	395	200.58	
	K	5,838,915	11/17/98	Klausmeier, et al.	395	200.45	
	L	5,838,994	11/17/98	Valizadeh	395	876	
	M	5,852,655	12/22/98	McHale, et al.	379	93.14	

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	A	5,859,550	01/12/99	Brandt	327	156	
	B	5,864,542	01/26/99	Gupta, et al.	370	257	
	C	5,864,666	01/26/99	Shrader	395	187.01	
	D	5,867,647	02/02/98	Haigh et al.	395	186	
	E	5,867,666	02/02/99	Harvey	395	200.68	
	F	5,892,903	04/06/99	Klaus	395	187.01	
	G	5,958,053	09/28/99	Denker	713	201	
	H	5,968,176	10/19/99	Nessett, et al.	713	201	
	I	5,991,881	11/23/99	Conklin, et al.	713	201	
	J	6,003,030	12/14/99	Kenner et al.	707	10	
	K	6,009,475	12/28/99	Shrader	709	249	
	L	6,035,404	03/26/91	Zhao	713	201	
	M	6,061,650	05/09/00	Malkin et al.	704	228	

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